



# Crystal growth kinetics as an architectural constraint on the evolution of molluscan shells

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**Molluscan shells are a classic model system to study formation–structure–function relationships in biological materials and the process of biomineralized tissue morphogenesis. Typically, each shell consists of a number of highly mineralized ultrastructures, each characterized by a specific 3D mineral–organic architecture. Surprisingly, in some cases, despite the lack of a mutual biochemical toolkit for biomineralization or evidence of homology, shells from different independently evolved species contain similar ultrastructural motifs. In the present study, using a recently developed physical framework, which is based on an analogy to the process of directional solidification and simulated by phase-field modeling, we compare the process of ultrastructural morphogenesis of shells from 3 major molluscan classes: A bivalve *Unio pictorum*, a cephalopod *Nautilus pompilius*, and a gastropod *Haliotis asinina*. We demonstrate that the fabrication of these tissues is guided by the organisms by regulating the chemical and physical boundary conditions that control the growth kinetics of the mineral phase. This biomineralization concept is postulated to act as an architectural constraint on the evolution of molluscan shells by defining a morphospace of possible shell ultrastructures that is bounded by the thermodynamics and kinetics of crystal growth.**

biomineralization | molluscs | solidification | crystal growth | morphogenesis

Molluscan shells exhibit a diverse range of mineral–organic composite ultrastructures, many of which originated during the early Paleozoic, starting with the first mineralized shell appearing during the Cambrian (1). These shells perform several functions, which include encapsulating the body, separating the inner soft tissue from the environment, and providing mechanical protection from predators (2–4). The mechanical performance of these shells is strongly dependent on the 3D organization of these biocomposites, which in many cases provide enhanced strength and toughness compared to the pure mineral phase and superiority compared to modern man-made composites (5, 6). As a result, molluscan shells are a classic model system to study formation–structure–function relationships in biological materials and the process of biologically controlled mineral formation.

Typically, molluscan shells consist of a number of layers that lie parallel to the outer shell surface. Each layer is characterized by a specific shell ultrastructure (e.g., prismatic, lamellar, spherulitic, and nacreous) and a specific calcium carbonate polymorph, aragonite or calcite (7–9). Surprisingly, in many cases, shells from different independently evolved species and even different classes (i.e., gastropods, cephalopods, bivalves, and monoplacophorans) contain similar shell ultrastructures (10). Moreover, transcriptomic and proteomic analyses of shell-depositing tissues of various species comprised of similar shell ultrastructures revealed some basic molecular functions, such as protease inhibition or melanin formation, that seem to be recurrently present in the different models (11). However, so far, hardly any similarities were found in molecular repertoires that are responsible for biomineral fabrication (10, 12–15). Yet, the physiological principle of shell biomineralization is highly conserved among molluscs. It is postulated

that shell formation is an extracellular process where a layer of specialized cells in the mantle epithelium secretes a complex mixture of organic and mineral precursors into a confined space (i.e., the extrapallial space) that is located between the outer organic layer (i.e., the periostracum) and internal mantle tissue (16). Whereas the existence of this space and its size are still under debate, the majority of experimental evidence points toward its crucial role in shell biomineralization (17). Here, the different ultrastructures were hypothesized to form via self-assembly and grow in thickness from the periostracum toward the mantle cells, which guide their morphogenesis by changing the physical boundary conditions (e.g., saturation level, pH, and viscosity) and the chemistry of the solidifying medium by using a repertoire of organic and inorganic precursors (8, 18–20). For example, specific interactions of biomolecules with mineral precursors were shown to affect nucleation, polymorph selection, crystallization pathway, and the growth process of the mineral phase (21–25). However, no biochemical toolkit for the formation of a specific ultrastructure has been found so far (26). Although a few models that explain the generation of some morphologies, such as the nacreous (27, 28) and the prismatic layer (18) exist, the exact mechanisms by which the cells control the formation of the various ultrastructures and the transition from one biocomposite architecture to another remain unclear.

Recently, a physical model with the capacity to describe the formation of the entire aragonitic shell of the bivalve *Unio pictorum*, which consists of 3 different ultrastructures, was introduced

## Significance

Using notions from classic materials science, we expand our understanding of the macroscopic morphospace of possible molluscan shell shapes to the level of possible ultrastructures that comprise them. This provides us with a unique opportunity to explore this morphospace using well-developed analytical, theoretical, and numerical tools and to test the effects of a discrete number of parameters on shell biomineralization. The physical model presented here sheds a new light on the evolutionary aspect of molluscan shell ultrastructural fabrication and suggests that the repeated “discovery” of some mineral morphologies partially reflects a series of architectural constraints provided by biomineral growth kinetics.

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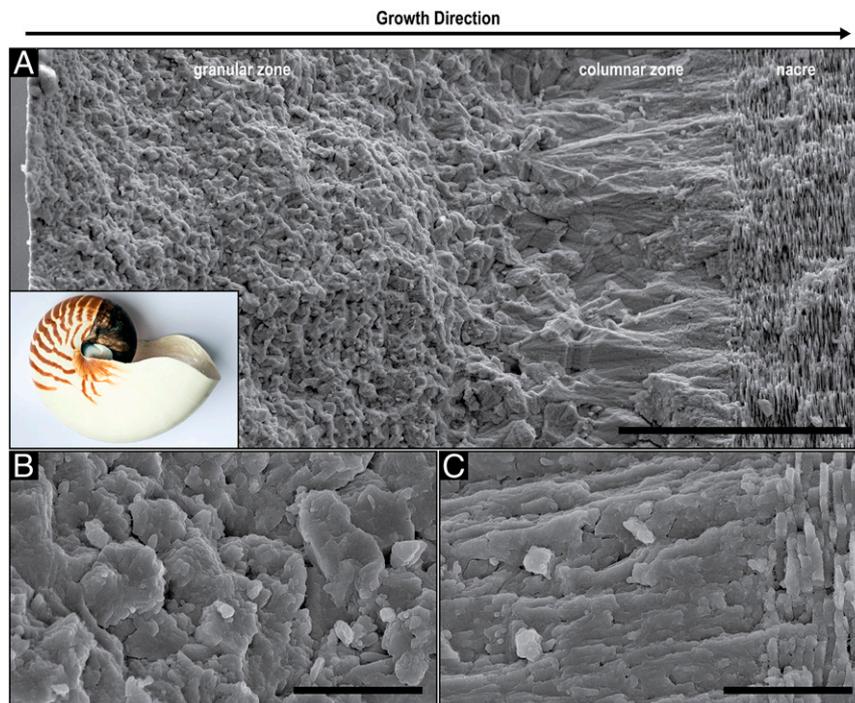
The authors declare no competing interest.

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**Fig. 1.** Structural analysis of the *N. pompilius* shell using electron microscopy. (A) SEM image of a fractured cross-section of the shell of *N. pompilius* prepared perpendicular to the shell's outer surface exposing the granular, columnar and nacreous layers (*Inset* shows the entire shell). (Scale bar, 50  $\mu\text{m}$ .) (B) Higher magnification of the central region of the granular layer. (Scale bar, 5  $\mu\text{m}$ .) (C) Higher magnification of the columnar-nacre transition. (Scale bar, 5  $\mu\text{m}$ .)

(20). By drawing an analogy to the concept of directional solidification, well known in the field of materials science (29, 30), the ability of the model to fully describe the morphogenesis of the entire shell construct on the ultrastructural and nanostructural levels was demonstrated. Structural development of the shell in thickness was shown to be the result of a transition from a fast to a slow directional solidification mode, accompanied by an increased morphological regularity. This process was hypothesized to be orchestrated by the cellular tissue, by reducing the concentration of the mineral precursor in the extrapallial space and, thus, reducing the driving force for solidification. In the present work, we studied the purely aragonitic shells of 2 species from 2 molluscan classes: the cephalopod *Nautilus pompilius* and the gastropod *Haliotis asinina*. Both shells exhibit a continuous gradual transition from a granular to a regular columnar to a highly ordered columnar nacreous ultrastructure. The presented structural analysis is fully consistent with the developed directional solidification model (20), which similarly to the bivalve *U. pictorum*, suggests that the morphogenesis of the different ultrastructural layers and the transition between them is a result of a progressively decelerating solidification process. Thus, we demonstrate that the introduced model is comprehensive and can describe the process of formation and independent evolution of a variety of ultrastructures in various molluscan classes despite the lack of a common biochemical toolkit for biomineral morphogenesis. Furthermore, we show that the fabrication of these biocomposites is controlled by the organisms by regulating the growth kinetics of the mineral phase, which is suggested to be key in determining the morphospace of possible shell ultrastructures and, therefore, acts as an architectural constraint on the evolution of molluscan shells.

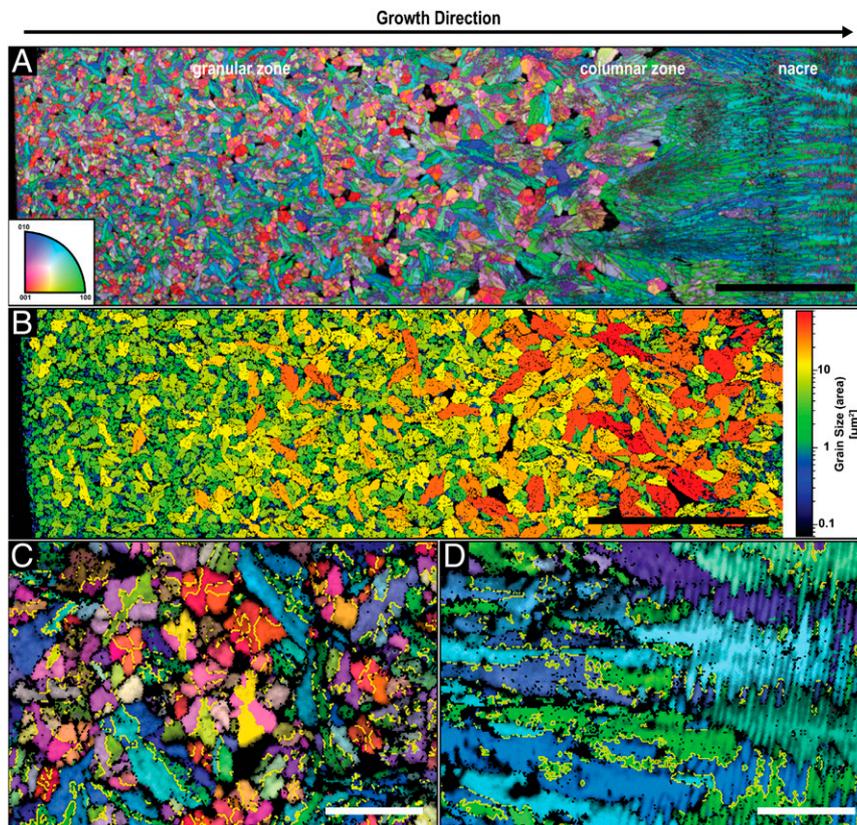
## Results

**The Shell of *N. pompilius*.** The shell wall of *N. pompilius* is commonly divided into 3 layers: The outer prismatic and nacre layers (Fig. 1A) and the inner prismatic layer. Scanning-electron microscopy (SEM) images of a fractured surface reveal that the

outer prismatic layer exhibits 2 different morphologies. Initially, the shell is composed of micrometer-sized granules (granular zone), which gradually increase in size along the direction of growth before they transform into columnar units (columnar zone), several tens of microns long, which fan out toward the next layer, nacre. Higher magnifications of the granular layer and the columnar-to-nacre transition (Fig. 1B and C, respectively) show the typical nanoparticle substructure (31). Fig. 1C depicts the gradual transition between the columnar ultrastructure and nacre, which consists of  $\sim 300\text{-nm}$ -thick tablets.

Atomic force microscopy (AFM) measurements also demonstrate the different morphologies of the shell and provide additional information on the substructure of the mineral units (Fig. 2A). Mechanical polishing led to minor height differences between the individual mineral blocks, probably due to their different crystallographic orientations and, thus, different abrasion efficiencies. In Fig. 2B, a representative mineral unit of the central region of the granular ultrastructure is shown demonstrating that it has a spherulitic nature. The granule is  $\sim 10\ \mu\text{m}$  long and  $4\ \mu\text{m}$  wide and a nanometer-sized substructure that radiates from its center is recognizable. However, besides slight differences in height, no clear boundary with adjacent mineral blocks that would indicate a presence of an organic envelope around the granule is visible. Similarly, the columnar units show no distinct organic interfaces (Fig. 2C). In contrast, clear boundaries between nacre tablets where the interlamellar organic sheets are located are resolved (vertical lines in Fig. 2D and E). Comparing the structure of nacre tablets directly at the transition zone (Fig. 2D) with nacre tablets located  $\sim 30\ \mu\text{m}$  from the transition (Fig. 2E), we find that differences in regularity, the shape, and the spacing between the tablets are distinct. Directly after the transition the thicknesses of the lamella vary between 100 nm and 500 nm and the interlamellar boundaries are corrugated and partially diffuse, leading to occasional intergrowth of superimposed layers. In contrast, the thickness of the tablets in the main body of nacre is regular. Here, the interlamellar





**Fig. 4.** Crystallographic analysis of the shell of *N. pompilius*. (A) EBSD map of a polished cross-section prepared perpendicular to the outer surface of the shell. The corresponding color-coded inverse pole figure of aragonite, with the reference direction normal to the image plane, is depicted in the *Inset*. (Scale bar, 50  $\mu\text{m}$ .) (B) Mineral units size map of the granular zone of the map in A. Single granules were identified using a tolerance angle of  $5^\circ$  while taking the twin boundaries into consideration. (Scale bar, 50  $\mu\text{m}$ .) (C and D) Higher-resolution EBSD map of the granular zone and the columnar–nacre transition, respectively, with the same color coding as in A. The yellow lines indicate the typical {110} aragonite twin boundaries, which were calculated by identifying misorientation angles between the {110} planes of  $64^\circ \pm 5^\circ$ . (Scale bars, 5  $\mu\text{m}$ .)

compared to the units in the other 2 ultrastructures and no distinct organic membranes segmenting the tablets in the same nacre layer are visible.

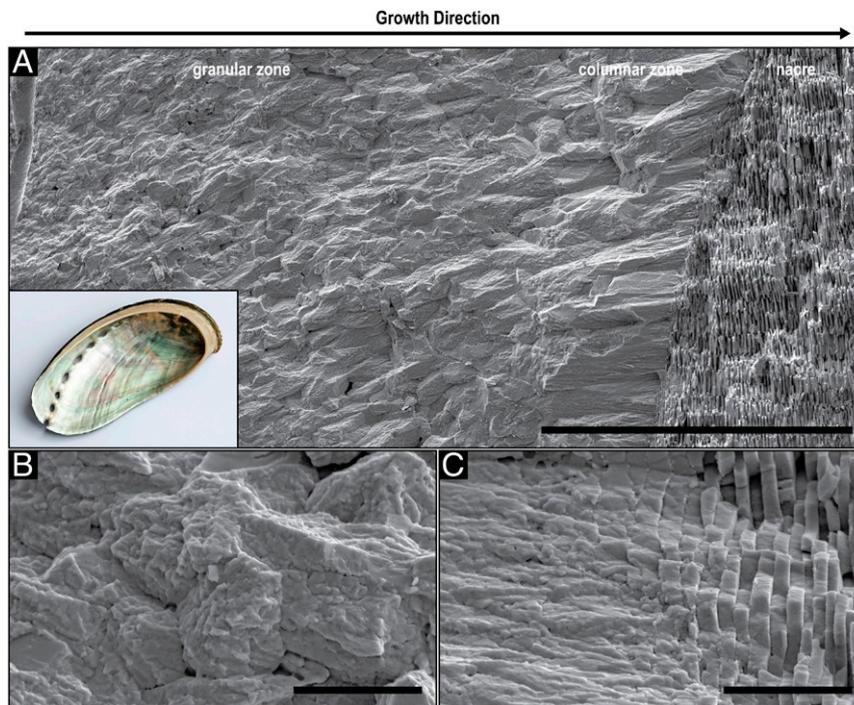
Electron backscatter diffraction (EBSD) measurements were performed to analyze the crystallographic characteristics of the studied shell ultrastructures. An EBSD map of the granular and the columnar layer and the transition to nacre is presented in Fig. 4A. EBSD confirms that the entire shell is aragonitic (9). In the granular zone, every mineral unit exhibits a single-crystal-like nature. Whereas initially, the small granules show no preferred orientation, a gradually increasing size (Fig. 4B) and coalignment of the *c*-axis of aragonite with the direction of growth (Fig. 4A) are observed until the columnar zone, where high level of texture is obtained. Here, the *c*-axis of aragonite in all of the mineral units is parallel to the direction of growth. The nacre tablets inherit their crystallographic orientation from the underlying columnar assembly and continue to grow maintaining the preferred orientation (Fig. 4A).

In Fig. 4C, typical aragonite twinning on {110} planes and a misorientation angle of  $\sim 64^\circ$  is marked by yellow lines showing that the majority of the granules are twinned crystals. Interestingly, granules with their *c*-axis of aragonite being almost perpendicular to the image plane (red colors) demonstrate the classic cyclical twinning of aragonite (35–37). They exhibit 6 crystallographic domains fanning out from the center of the crystal in which pairs of domains with a similar orientation are located opposite to each other. The granules with the *c*-axis of aragonite parallel to the growth direction (blue and green colors) are elongated in shape and the twin boundaries follow their long axes. At the transition to

nacre (Fig. 4D), the columnar units gradually transition into the nacreous layer while maintaining the crystallographic orientations of the mineral and keeping its twinned characteristics.

**The Shell of *H. asinina*.** Fig. 5A shows an SEM image of a fractured shell of *H. asinina*, demonstrating its 3 ultrastructural motifs. Similar to *N. pompilius*, the morphology of the mineral phase gradually changes from a granular zone to a columnar zone to a nacreous ultrastructure along the direction of growth, and the mineral building blocks exhibit a nanoparticle substructure (Fig. 5B and C). Nevertheless, the mineral units in the granular zone and the thickness of the tablets in nacre are larger than in *N. pompilius*.

EBSD analysis of a polished *H. asinina* shell, displayed in Fig. 6A, confirms that this shell is also exclusively aragonitic (38). In addition, similar to *N. pompilius*, the individual mineral units are twinned single crystals (Fig. 6C and D) that increase in size with the direction of growth (Fig. 6B). In the granular zone, the typical cyclical twinning is visible in spherulites having their {001} planes of aragonite parallel to the image plane (red colors in Fig. 6C). Whereas, initially, most of the granules are randomly oriented, a gradual preferred orientation is developed and the *c*-axis of aragonite slowly coaligns with the direction of growth (Fig. 6A). In the columnar zone, the mineral units almost exclusively have the *c*-axis of aragonite oriented parallel to the growth direction and along the long axis of the columns (Fig. 6A). The transition to nacre is also gradual and the crystallographic properties of the tablets are inherited from the columnar ultrastructure (Fig. 6D).



**Fig. 5.** Structural analysis of the *H. asinina* shell. (A) SEM image of a fractured cross-section of the shell of *H. asinina* prepared perpendicular to its outer surface showing the granular, columnar and nacreous layers (Inset shows the entire shell with the nacreous layer exposed). (Scale bar, 100  $\mu\text{m}$ .) (B) Higher magnification of the initial granular layer. (Scale bar, 5  $\mu\text{m}$ .) (C) Higher magnification of the columnar–nacre transition. (Scale bars, 5  $\mu\text{m}$ .)

## Discussion

**Thermodynamic and Kinetic Aspects.** Detailed analysis of the shells of *N. pompilius* and *H. asinina* reveals high structural and crystallographic similarities between the cephalopod and the gastropod shells. In both species, the investigated mineralized layers are solely aragonitic and are currently considered as 2 individual layers that were classically described as a prismatic and a nacreous layer (39, 40). However, by the end of the 19th century, researchers observed radiating crystalline structures in the first mineralized layer of *N. pompilius* (41) and a prismatic sublayer close to the beginning of nacre (42). Mutvei (43) described it as a spherulitic-prismatic layer. In this work, we confirm this observation in *N. pompilius* (Fig. 1A) and in *H. asinina* (Fig. 5A). Traditionally, the spherulitic-prismatic structure in *N. pompilius* was considered as a single layer. This interpretation is consistent with the presented results since the granular ultrastructure transitions gradually into the prismatic ultrastructure in both species. Moreover, the transition from the prismatic into the nacreous ultrastructure is gradual as well (Figs. 1C and 5C). This indicates that the different ultrastructures in these shells, including the nacre, are not truly individual, divisible layers, but rather one continuous construct formed following a common growth mechanism.

Recently, it was demonstrated that the ultra- and the nanostructural evolution of the bivalve shell of *U. pictorum* can be described by the process of directional solidification (20), an extensively studied concept from material science that is used to elucidate how materials solidify along thermal and concentration gradients (30). Specifically, the morphogenesis of the entire aragonitic shell construct exhibiting a gradual transition from a dendritic, to a prismatic, and ultimately to a nacreous ultrastructure was explained from the view point of crystal growth thermodynamics and kinetics. In general, pattern formation during directional solidification is guided by capillarity and diffusion processes, which are steered by the thermodynamic driving force for solidification (e.g., temperature and/or supersaturation levels) (30,

44). In the case of *U. pictorum*, the hypothesized driving force for shell morphogenesis is the level of mineral precursor concentration in the solidifying medium, which was evident in an increasing degree of structural order. This indicates a transition from a fast to a slow growth mode, which was suggested to be induced by a decreasing mineral precursor concentration in the extrapallial space. Similar to *U. pictorum*, the shells of *N. pompilius* and *H. asinina* are deposited continuously in a directional manner and show an increasing degree of order that is apparent on the ultrastructural level. Here, the granular morphology demonstrates a gradual increase in size (Figs. 4B and 6B) until the granules morph into the columns of the prismatic zone and, finally, into the highly ordered nacreous structure (Figs. 1A and 5A). Moreover, this process is followed by a gradual crystallographic texturing (Figs. 4A and 6A).

Biologically controlled biomineralization is a form of heterogeneous nucleation where new crystal formation is induced by cells on a surface or in solution on impurities or particles, such as biomolecules (45–48). During directional solidification, the addition of impurities/particles (nucleation centers) to a solidifying liquid in combination with relatively high solidification driving forces can cause equiaxed growth, which is defined by the formation of new randomly oriented globular or dendritic grains ahead of the growth front (49–51). The size and shape of the grains is directly correlated with the amount of nucleation centers (52) and the solidification velocity (53). Higher concentrations of nucleation centers and growth front velocities lead to higher numbers of smaller grains, whereas at lower concentrations and lower velocities the grains increase in size until they transition into columns (52, 53). Here, the direction of grain elongation is controlled by the applied gradient of the driving force (54). Occurrences that strongly affect the final morphology of a solidifying material are segregation events, which are also caused by additives in the solidifying fluid. Segregation leads to local chemical inhomogeneities that can manifest as inclusions between the dendrite arms, and between more complex structures,







a living organism will serve to evaluate the proposed model. Whereas this type of a physiological study was never previously reported, methods to extract the extrapallial fluid from living molluscs are well established (113).

Furthermore, molluscan shell biomineralization and morphogenesis is an extracellular process that proceeds under genetic control and is remotely orchestrated by the cellular tissue. The physical model developed here describes how this control is executed: By generating a driving force for mineral nucleation and growth in the form of biochemical and physical boundary conditions that guide the self-assembly of a specific morphology. However, mineral formation must adhere to the basic principles of crystal growth kinetics and thermodynamics regardless of whether it occurs extracellularly or within cells. Therefore, we believe that the introduced scientific approach is comprehensive. The main distinction between the growth of the various biomineralized structures in nature are the driving forces set by the organisms that ultimately regulate the nucleation, manipulate the shape, and assemble the mineral components. In this work, we demonstrate that identifying these forces is not only key to the study of biomineral formation, but is essential to our understanding of the most fundamental processes in evolution.

## Methods

**Sample Preparation.** Samples of the shells of *N. pompilius* and *H. asinina* were manually fractured parallel to the growth direction of the shell and coated with Pt/Pd for electron microscopy. For EBSD and AFM investigations, pieces of the shells of *N. pompilius* and *H. asinina* were embedded in poly(methyl methacrylate), cut parallel to the direction of growth, polished with a diamond solution, and finally polished with a colloidal silica solution.

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**Electron Microscopy.** Imaging of the fractured and Pt/Pd-coated samples was performed using a Scios Dual Beam FIB/SEM (FEI/Thermo Fisher) in high-vacuum conditions.

**EBSD Analysis.** EBSD data were collected using an EDAX Hikari Super EBSD system on a Scios Dual Beam FIB/SEM (FEI/Thermo Fisher). To minimize damage to the specimen surface by the electron beam, we used a low current of 1.6 nA and a voltage of 15 kV. EBSD patterns were processed using neighbor pattern averaging indexing.

**AFM.** AFM measurements were performed in tapping mode using a JPK/Bruker NanoWizard4 AFM in combination with a Zeiss fluorescence microscope Axio Observer Z1. A NANOSENSORS PointProbe Plus silicon probe (PPP-NCH) for tapping/noncontact mode with a typical tip radius of less than 7 nm and a spring constant of 42 N/m was used. The AFM measurements were performed using scan rates between 0.2 and 0.5 Hz.

**Phase-Field Modeling.** In the eutectic model applied here (81), the local state is characterized by 3 fields: 1) A space and time-dependent phase field  $\phi(r,t)$  that monitors the solidification of the liquid, and is  $\phi = 0$  in the liquid, and  $\phi = 1$  in the solid (Movie S1); 2) a concentration field  $c(r,t)$  representing the local concentration of the organic matter (Movie S2); and 3) an orientation field  $\theta(r,t)$ , which specifies the local crystallographic orientation (a scalar field in 2D) (Movie S3). For brevity, in *SI Appendix* we present only a short summary of the model, which includes the free energy functional and the equations of motion. Further information is available in detail in Lewis *et al.* (81).

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